

PART A
SUMMARY OF IMPORTANT CIRCULARS ISSUED DURING THE PERIOD
JANUARY 01, 2022 TO DECEMBER 31, 2022

Sr.no	Subject	Download No	Circular Date
1	Waiver of Transaction Charges - SLB Scheme	51677	March 21, 2022
2	Segregation and Monitoring of Collateral at Client Level	52000	April 12, 2022
3	Segregation and Monitoring of Collateral at Client Level	52130	April 28, 2022
4	Revision of operating range in SLBS	52212	May 05, 2022
5	Standardization of Clearing and Settlement File Formats	52490	June 01, 2022
6	UCC and PAN verification at time of order entry	52855	July 01, 2022
7	Standardization of Clearing and Settlement file formats – Update	54400	November 11, 2022
8	Block Mechanism in demat account of clients undertaking sale transactions	54401	November 11, 2022
9	Validation of Instructions for Pay-In of Securities from Client demat account to Trading Member (TM) Pool Account against obligations received from the Clearing Corporations	54407	November 12, 2022
10	Prevention of Self transaction in SLB scheme	54469	November 16, 2022
11	Segregation and Monitoring of Collateral at Client Level	54693	December 01, 2022